

09/22/98

JCS88 U.S. PTO

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Approved for use through 09/30/2000. OMB 0561-0002  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. \_\_\_\_\_  
 First Inventor or Application Identifier **Ronald Peter Lesser**  
 Title **A SOFTWARE DEVICE TO FACILITATE CREATION OF...**  
 Express Mail Label No. \_\_\_\_\_

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
 (Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Pages: **38**]  
 (prepared arrangement set forth below)  
 - Descriptive title of the invention  
 - Cross References to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to Microfiche Appendix  
 - Background of the invention  
 - Brief Summary of the invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claims(s)  
 - Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: **18**]

4. Oath or Declaration [Total Pages: **2**]  
 a. ☒ Newly executed (original or copy)  
 b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
 (for continuation/divisional with Box 16 completed)  
 c. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting  
 inventor(s) named in the prior application,  
 see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)  
 6. Nucleotide and/or Amino Acid Sequence Submission  
 (if applicable, all necessary)  
 a. ☐ Computer Readable Copy  
 b. ☐ Paper Copy (identical to computer copy)  
 c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))  
 8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
 (when there is an assignee)  
 9. ☐ English Translation Document (if applicable)  
 10. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]  
 11. ☐ Preliminary Amendment  
 12. ☒ Return Receipt Postcard (MPEP 600)  
 (Should be specifically itemized)  
 13. ☒ Small Entity Statement(s) [Statement filed in prior application. Status still proper and desired. (PTO/SB-12)]  
 14. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)  
 15. ☐ Other: \_\_\_\_\_

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_  
 Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label [Insert Customer No. or Attach Bar code label here] or ☒ Correspondence address below

Name **Ronald Peter Lesser**

Address **709 Stevenson Lane**

City **Baltimore** State **Maryland** Zip Code **21286-7905**

Country **USA** Telephone **(410) 377-0919** Fax **(410) 377-6299**

Name (Print/Type) **Ronald Peter Lesser** Registration No. (Assign/Agent) \_\_\_\_\_  
 Signature *Ronald Peter Lesser* Date **9/22/98**

Random Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/03-12.  
See 37 C.F.R. §§ 1.27 and 1.33.

**TOTAL AMOUNT OF PAYMENT (\$)** 436

## Complete if Known

Application Number \_\_\_\_\_  
Filing Date \_\_\_\_\_  
First Named Inventor **Ronald Peter Lesser**  
Examiner Name \_\_\_\_\_  
Group / Art Unit \_\_\_\_\_  
Attorney/Doctel No. \_\_\_\_\_

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number \_\_\_\_\_  
Deposit Account Name \_\_\_\_\_
- ☐ Charge Any Additional Fee Requested Under 37 C.F.R. §§ 1.16 and 1.17 ☐ Charge the basic Fee Set in 37 C.F.R. § 1.18 at the Meeting of the Board of Patent and Trademark Appeal

2. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 750	201 395	Utility filing fee	395
106 330	206 165	Design filing fee	
107 340	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$)</b> 395

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	41		
Multiple Dependent			

\*For member previously paid, if greater, For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 22	203 11	Claims in excess of 20	
102 32	202 41	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
106 32	206 41	** Reissue independent claims over original patent	
110 22	210 11	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>(\$)</b> 41

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
195 130	295 65	Surcharge - late filing fee or oath	
127 80	227 25	Surcharge - late provisional filing fee or cover sheet	
139 135	139 130	Non-English specification	
147 3,520	147 3,520	For filing a request for reexamination	
112 800*	112 320*	Requesting publication of EIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of EIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 560	217 475	Extension for reply within third month	
118 1,540	218 755	Extension for reply within fourth month	
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 910	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,810	138 1,810	Petition to institute a public law proceeding	
140 410	240 55	Petition to revive - unavoidable	
141 1,320	241 600	Petition to revive - unintentional	
142 1,320	242 600	Utility issue fee (or release)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
125 240	125 240	Submission of Information Disclosure Sheet	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
145 790	245 395	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149 750	249 395	For each additional invention to be examined (37 C.F.R. 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
<b>SUBTOTAL (3)</b>			<b>(\$)</b>

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name **Ronald Peter Lesser**

Signature

*Ronald Peter Lesser*

Date

9/22/98

## Complete if applicable

Reg. Number

Deposit Account User ID

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FROM

Ronald Lesser  
709 Stevenson Lane  
Baltimore, Maryland 21286-7905



TO

Assistant Commissioner for Patents  
Washington, D.C. 20231

Provisional application # 60097290  
Filing date of provisional application Mailroom date 8/20/98

Title:

A software Device to Facilitate Creation of Medical Records, Medical Letters,  
and Medical Information for Billing Purposes

Name of Inventor:	Ronald Peter Lesser
Number of pages of specifications:	38
Number of claims and claims pages	13 claims; 2 ½ pages
Number of figures and sheets	5 figures (each with subsections); 18 sheets

Also included:

Declaration for Utility or Design Patent Application  
(PTO/SB/01)  
2 pages  
Utility Patent Application Transmittal (PTO/SB/05)  
Statement Claiming Small Entity Status –  
Independent Inventor (PTO/SB/09)  
Fee Transmittal (PTO/SB/17)  
Check for \$436  
Biographic Information using new Patent  
Application Data Entry Format

862250-8662560